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THE DISTRICT OF COLUMBIA

BEFORE

THE OFFICE OF EMPLOYEE APPEALS

In the Matter of:)
)
RUFUS GUYTON JR.,)
Employee	OEA Matter No. 2401-0190-12
)
v.) Date of Issuance: March 5, 2014
)
D.C. PUBLIC SCHOOLS,) MONICA DOHNJI, Esq.
Agency) Administrative Judge
)
Rufus Guyton, Jr., Employee, Pro Se	
Sara White, Esq., Agency Representative	

INITIAL DECISION

INTRODUCTION AND PROCEDURAL BACKGROUND

On August 8, 2012, Rufus Guyton Jr., ("Employee") filed a Petition for Appeal with the Office of Employee Appeals ("OEA" or "Office") contesting the D.C. Public Schools' ("Agency" or "DCPS") action of abolishing his position through a Reduction-in-Force ("RIF"). The effective date of the RIF was August 10, 2012. Employee was a RW-5 Custodian at Coolidge High School ("Coolidge") at the time of the RIF. On September 13, 2012, Agency submitted its Answer to Employee's Petition for Appeal.

This matter was assigned to the undersigned Administrative Judge ("AJ") on November 22, 2013. Thereafter, on December 2, 2013, I ordered the parties to submit briefs on the issue of whether Agency conducted the instant RIF in accordance with applicable District laws, statues, and regulations. Agency made several requests for an extension of time to file its brief, which were all granted. Additionally, Employee was also provided with an opportunity to submit supplemental briefs if he chose to. Both parties have submitted their briefs. After considering the arguments herein, I have determined that an evidentiary hearing is unwarranted. The record is now closed.

JURISDICTION

As will be explained below, the jurisdiction of this Office has not been established.

ISSUE

Whether this appeal should be dismissed for lack of jurisdiction.

FINDINGS OF FACTS, ANALYSIS, AND CONCLUSIONS OF LAW

On or around June of 2012, D.C. School Chancellor Kaya Henderson authorized a Reduction-in-Force ("RIF") pursuant to D.C. Code § 1-624.02, and Title 5 of the District of Columbia Municipal Regulations ("DCMR"), Chapter 15. Chancellor Henderson stated that the RIF was necessitated for budgetary reasons and a reorganization of functions.¹

Although the instant RIF was authorized pursuant to D.C. Code § 1-624.02,² which encompasses more extensive procedures, for the reasons explained below, I find that D.C. Official Code § 1-624.08 ("Abolishment Act or the Act") is the more applicable statute to govern this RIF.

Section § 1-624.08 states in pertinent part that:

- (a) Notwithstanding any other provision of law, regulation, or collective bargaining agreement either in effect or to be negotiated while this legislation is in effect for the fiscal year ending September 30, 2000, and each subsequent fiscal year, each agency head is authorized, within the agency head's discretion, to identify positions for abolishment (emphasis added).
- (b) Prior to February 1 of each fiscal year, each personnel authority (other than a personnel authority of an agency which is subject to a management reform plan under subtitle B of title XI of the Balanced Budget Act of 1997) shall make a final determination that a position within the personnel authority is to be abolished.
- (c) Notwithstanding any rights or procedures established by any other provision of this subchapter, any District government employee, regardless of date of hire, who encumbers a position identified for

(a) Reduction-in-force procedures shall apply to the Career and Educational Services... and shall include:

¹ See Agency's Answer (September 13, 2012); Agency's Brief (February 7, 2014).

² D.C. Code § 1-624.02 states in relevant part that:

⁽¹⁾ A prescribed order of separation based on tenure of appointment, length of service including creditable federal and military service, District residency, veterans preference, and relative work performance;

⁽²⁾ One round of lateral competition limited to positions within the employee's competitive level;

⁽³⁾ Priority reemployment consideration for employees separated;

⁽⁴⁾ Consideration of job sharing and reduced hours; and

⁽⁵⁾ Employee appeal rights.

abolishment shall be separated without competition or assignment rights, except as provided in this section (emphasis added).

- (d) An employee affected by the abolishment of a position pursuant to this section who, but for this section would be entitled to compete for retention, shall be entitled to one round of lateral competition pursuant to Chapter 24 of the District of Columbia Personnel Manual, which shall be limited to positions in the employee's competitive level.
- (e) Each employee selected for separation pursuant to this section shall be given written notice of at least 30 days before the effective date of his or her separation.

In *Mezile v. D.C. Department on Disability Services*, the D.C. Superior Court found that "the language of § 1-624.08 is unclear as to whether it replaced § 1-624.02 entirely, or if the government can only use it during times of fiscal emergency." The Court also found that both laws were current and that the government triggers the use of the applicable statute by using "specific language and procedures."

However, the Court of Appeals took a different position. In *Washington Teachers' Union*, DCPS conducted a 2004 RIF "to ensure balanced budgets, rather than deficits in Fiscal Years 2004 and 2005." The Court of Appeals found that the 2004 RIF conducted for budgetary reasons, triggered the Abolishment Act ("the Act") instead of "the regular RIF procedures found in D.C. Code § 1-624.02." The Court stated that the "ordinary and plain meaning of the words used in § 1-624.08(c) appears to leave no doubt about the inapplicability of § 1-624.02 to the 2004 RIF."

The Abolishment Act applies to positions abolished for fiscal year 2000 and subsequent fiscal years (emphasis added). The legislation pertaining to the Act was enacted specifically for the purpose of addressing budgetary issues resulting in a RIF.⁸ The Act provides that, "notwithstanding any rights or procedures established by any other provision of this subchapter," which indicates that it supersedes any other RIF regulations. The use of the term 'notwithstanding' carries special significance in statutes and is used to "override conflicting provisions of any other section." Further, "it is well established that the use of such a 'notwithstanding clause' clearly signals the drafter's intention that the provisions of the 'notwithstanding' section override conflicting provisions of any other sections." ¹⁰

³ Mezile v. District of Columbia Department on Disability Services, No. 2010 CA 004111 (D.C. Super. Ct. February 2, 2012).

⁴ *Id.* at p. 5.

⁵Washington Teachers' Union, Local #6 v. District of Columbia Public Schools, 960 A.2d 1123, 1125 (D.C. 2008).

⁶ *Id*.

⁷ *Id*.

⁸ Id. at 1125. See also Johnson v. District of Columbia Department of Health, 2012 CA 000278 P (MPA).

⁹ Burton v. Office of Employee Appeals, 30 A.3d 789 (D.C. 2011).

¹⁰ *Id*.

The Abolishment Act was enacted after § 1-624.02, and thus, is a more streamlined statute for use during times of fiscal emergency. Moreover, the persuasive language of § 1-624.08, including the term 'notwithstanding', suggests that this is the more applicable statutory provision to conduct RIFs resulting from budgetary constraints. Accordingly, I am primarily guided by § 1-624.08 for RIFs authorized due to budgetary restrictions. Under this section, an employee whose position was terminated due to a RIF may only contest before this Office:

- 1. That he did not receive written notice thirty (30) days prior to the effective date of his separation from service; and/or
- 2. That he was not afforded one round of lateral competition within his competitive level.

In its Answer, Agency requested that this matter be dismissed for lack of jurisdiction because Employee's Petition for Appeal was filed prior to the effective date of the RIF. Employee received his RIF Notice on June 18, 2012, and the RIF was effective on August 10, 2012, however, Employee filed his appeal with this Office on August 8, 2012. While I agree with Agency's assertion that Employee's Petition for Appeal was filed prematurely, I find that this is inconsequential and constitutes harmless error. DCMR § 631.3 provides that, "...Harmless error shall mean an error in the application of the agency's procedures, which did not cause substantial harm or prejudice to the employee's rights and did not significantly affect the agency's final decision to take the action." (Emphasis added). Employee's premature filing of his Petition for Appeal did not significantly affect Agency's final decision to take action because Agency had already issued the RIF Notice, prior to Employee filing his Petition for Appeal.

Additionally, in his brief, Employee submitted documentation showing that he filed a grievance with the International Brotherhood of Teamsters, Local Union 639 on June 18, 2012, pursuant to the Collective Bargaining Agreement ("CBA") between his Union and DCPS, disputing his termination. While Agency did not raise or address this issue in its filing, this Office has no authority to review issues beyond its jurisdiction. Therefore, issues regarding jurisdiction may be raised at any time during the course of the proceeding. This Office's jurisdiction is conferred upon it by law, and was initially established by the District of Columbia Comprehensive Merit Personnel Act of 1978 ("CMPA"), D.C. Official Code §1-601-01, et seq. (2001). It was amended by the Omnibus Personnel Reform Amendment Act of 1998 ("OPRAA"), D.C. Law 12-124, which took effect on October 21, 1998. Both the CMPA and OPRAA confer jurisdiction on this Office to hear appeals, with some exceptions not relevant to this case, of permanent employees in Career and Education Service who are not serving in a probationary period, or who have successfully completed their probationary period.

¹¹See Mezile v. D.C. Department on Disability Services, Supra.

¹² See Employee's Brief (January 6, 2014). Employee's RIF Notice is dated June 18, 2012.

¹³ See Banks v. District of Columbia Public School, OEA Matter No. 1602-0030-90, Opinion and Order on Petition for Review (September 30, 1992).

¹⁴ See Brown v. District of Columbia Public. School, OEA Matter No. 1601-0027-87, Opinion and Order on Petition for Review (July 29, 1993); Jordan v. Department of Human Services, OEA Matter No. 1601-0110-90, Opinion and Order on Petition for Review (January 22, 1993); Maradi v. District of Columbia Gen. Hosp., OEA Matter No. J-0371-94, Opinion and Order on Petition for Review (July 7, 1995).

D.C. Official Code (2001) §1-616.52 reads in pertinent part as follows:

- (d) Any system of grievance resolution or review of adverse actions negotiated between the District and a labor organization shall take precedence over the procedures of this subchapter [providing appeal rights to OEA] for employees in a bargaining unit represented by a labor organization.
- (e) Matters covered under this subchapter that also fall within the coverage of a negotiated grievance procedure may, in the discretion of the aggrieved employee, be raised either pursuant to Section 1-606.03, or the negotiated grievance procedure, **but not both**. (Emphasis added).
- (f) An employee shall be deemed to have exercised their option (*sic*) pursuant to subsection (e) of this section to raise a matter either under the applicable statutory procedures or under the negotiated grievance in writing in accordance with the provision of the negotiated grievance procedure applicable to the parties, whichever occurs first (emphasis added).

According to the record, Employee was a member of the International Brotherhood of Teamsters, Local Union 639. Employee received his RIF Notice on June 18, 2012. On that same day, Employee filed a grievance with his local Union, disputing his termination. Subsequently, on August 8, 2012, Employee filed a Petition for Appeal with OEA. Pursuant to the above referenced code, Employee had the option to appeal his termination with either OEA or through his Union, **but not both**. (Emphasis added). By electing to appeal his termination by filing a grievance under the CBA between Agency several weeks before he filed his Petition for Appeal with OEA, Employee waived his rights to be heard by this Office. Therefore, I conclude that this Office does not have jurisdiction over Employee's appeal.

Assuming *arguendo* that this Office had jurisdiction over Employee's Petition for Appeal, I find that Agency has submitted sufficient evidence to prove that the instant RIF was conducted in accordance with applicable District laws, statues, and regulations. Employee argues that he was unjustly terminated due to the RIF. He explains that, based on his thirty-two (32) years of seniority with Agency, and the fact that other DCPS employees are not being RIF'd, he should be reinstated. He also notes that DCPS is hiring other employees. Agency submits that it conducted the RIF in accordance with the District of Columbia Municipal Regulations and the D.C. Official Code. Agency states that Employee was the only RW-5 Custodian at Coolidge at the time of the RIF. Agency explains that because Employee was in a single level competitive area, he was not entitled to one round of lateral competition. Agency also asserts that it provided Employee with thirty (30) days written notice prior to the RIF effective date.

This Office has consistently held that, when an employee holds the only position in his competitive level, D.C. Official Code § 1-624.08(e), which affords employees one round of lateral competition, as well as the related RIF provisions of 5 DCMR 1503.3, are both inapplicable. Chapter 24 of the DPM § 2410.4, 47 D.C. Reg. 2430 (2000), defines "competitive level" as:

All positions in the competitive area ... in the same grade (or occupational level), and classification series and which are sufficiently alike in qualification requirements, duties, responsibilities, and working conditions so that the incumbent of one (1) position could successfully perform the duties and responsibilities of any of the other positions, without any loss of productivity beyond that normally expected in the orientation of any new but fully qualified employee.

An agency is therefore not required to go through the rating and ranking process described in that chapter relative to abolishing Employee's position. Employee was the sole RW-5 Custodian at Coolidge. Accordingly, I conclude that Employee was properly placed into a single-person competitive level and Agency was not required to rank or rate Employee according to the rules specified in D.C. Official Code § 1-624.08(e) pertaining to multiple-person competitive levels when it implemented the instant RIF. And for this reason, Agency did not have to complete a competitive level score card for Employee.

Title 5, §1506 of the DCMR provides the notice requirements that must be given to an employee affected by a RIF. Section 1506.1 states that "an employee selected for separation shall be given specific written notice at least thirty (30) days prior to the effective date of the separation. The notice shall state specifically what action is taken, the effective date of the action, and other necessary information regarding the employee's status and appeal rights." Additionally, D.C. Official Code § 1-624.08(e), which governs RIFs provides that an Agency shall give an employee thirty (30) days notice after such employee has been selected for separation pursuant to a RIF (emphasis added). Here, Employee received his written RIF notice on June 18, 2012, and the RIF effective date was August 10, 2012, which is more than the required thirty (30) days. The Notice stated that Employee's position was being abolished as a result of a RIF. The Notice also provided Employee with information about his appeal rights. It is therefore undisputed that Employee was given the required thirty (30) days written notice prior to the effective date of the RIF.

Employee also notes that DCPS is hiring other employees. However, Employee has not provided any credible evidence to support this contention. In addition, this Office has previously held that it lacks jurisdiction to entertain any post-RIF activity which may have occurred at an agency. Moreover, complaints of this nature are grievances, and do not fall within the purview of OEA's scope of review. Further, it is an established matter of public law that as of October 21, 1998, pursuant to the Omnibus Personnel Reform Amendment Act of 1998 (OPRAA), D.C. Law 12-124, OEA no longer has jurisdiction over grievance appeals. Employee's other ancillary arguments are best characterized as grievances and outside of OEA's jurisdiction to adjudicate.

¹⁵ See Lyles v. D.C. Department of Mental Health, OEA Matter No. 2401-0150-09 (March 16, 2010); Cabiness v. Department of Consumer and Regulatory Affairs, OEA Matter No. 2401-0156-99 (January 30, 2003); Mills v. D.C. Public Schools, OEA Matter No. 2401-0109-02 (March 20, 2003); Bryant v. D.C. Department of Corrections, OEA Matter No. 2401-0086-01 (July 14, 2003); and Fagelson v. Department of Consumer and Regulatory Affairs, OEA Matter No. 2401-0137-99 (December 3, 2001).

¹⁶ Williamson v. DCPS, OEA Matter No. 2401-0089-04 (January 5, 2005); Cabaniss v. Department of Consumer and Regulatory Affairs, OEA Matter No. 2401-0156-99 (January 30, 2003).

That is not to say that Employee may not press his claims elsewhere, but rather that OEA currently lacks the jurisdiction to hear Employee's other claims.

ORDER

It is hereby ORDEREI	that this matter	be DISMISSED	for lack of	jurisdiction.
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FOR THE OFFICE:	
	MONICA DOHNJI, Esq. Administrative Judge